

FORM PTO-1390 (Modified)
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

L92 120

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/701309
 INTERNATIONAL APPLICATION NO.
PCT/JP00/01754

 INTERNATIONAL FILING DATE
March 23, 2000

 PRIORITY DATE CLAIMED
March 31, 2000

TITLE OF INVENTION

COMMUNICATION TERMINAL APPARATUS, BASE STATION APPARATUS
AND RADIO COMMUNICATION METHOD

APPLICANT(S) FOR DO/EO/US

Guizeng SHI, Osamu KATO, and Mitsuru UESUGI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ Certificate of Mailing by Express Mail
20. ☐ Other items or information:

Transmittal Letter Under Rule 53
Claim for Priority with PCT/IB/304
PCT/IB/308
PCT/RO/101

U.S. APPLICATION NO. (UNKNOWN) (SEE 37 CFR 1.53)

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

PCT/JP00/01754

L9289.00120

21. The following fees are submitted:

CALCULATIONS PTO USE ONLY

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,000.00
- ☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☒ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

\$130.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	9 - 20 =	0	x \$18.00	\$0.00	
Independent claims	3 - 3 =	0	x \$80.00	\$0.00	
Multiple Dependent Claims (check if applicable).			<input type="checkbox"/>	\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$990.00	

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable).

☐

\$0.00

SUBTOTAL =

\$990.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).

+

\$0.00

TOTAL NATIONAL FEE =

\$990.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).

☐

\$0.00

TOTAL FEES ENCLOSED =

\$990.00

Amount to be:	\$
refunded	
charged	\$

☒ A check in the amount of \$990.00 to cover the above fees is enclosed.

☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **19-4375** A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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James E. Ledbetter

NAME

28,732

REGISTRATION NUMBER

November 28, 2000

DATE

09/701309
528 PCT/PTO 28 NOV 2000

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**PATENT, TRADEMARK, COPYRIGHT
AND RELATED INTELLECTUAL PROPERTY CAUSES**

ESTABLISHED 1930

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November 28, 2000

**Assistant Commissioner for Patents
Washington, DC 20231**

Sir:

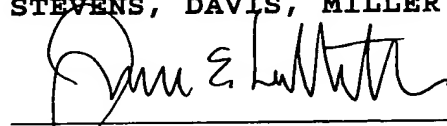
Attached is a new Patent Application which is being filed without a signed Declaration under the provisions of 37 CFR §1.53. The name(s), address(es) and citizenship of the inventor(s) are as follows:

Name:	Guizeng SHI
Address:	4-4-18-104, Midori-cho, Akishima-shi Tokyo 196-0004 Japan
Citizenship:	Japan
Name:	Osamu KATO
Address:	5-45-G302, Shonantakatori, Yokosuka-shi Kanagawa 237-0066 Japan
Citizenship:	Japan
Name:	Mitsuru UESUGI
Address:	17-1-402, Anjindai, Yokosuka-shi Kanagawa 238-0048 Japan
Citizenship:	Japan

The Declaration will be filed within the time specified for such filing.

Respectfully submitted,

STEVENS, DAVIS, MILLER & MOSHER, L.L.P.



James E. Ledbetter
Registration No. 28,732

JEL/lmq

ATTORNEY DOCKET NO. L9289.00120 PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventor(s): Guizeng SHI et al.

Application No.: New Patent Application
Based on PCT/JP00/01754

Filed: November 28, 2000

For: COMMUNICATION TERMINAL APPARATUS,
BASE STATION APPARATUS AND
RADIO COMMUNICATION METHOD

CLAIM FOR PRIORITY

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

The benefit of the filing dates of the following prior foreign applications filed in the following foreign country is hereby requested for the above-identified application and the priority provided in 35 USC 119 is hereby claimed

Japanese Appln. No. 11-094351, Filed March 31, 1999

and

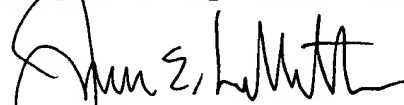
Japanese Appln. No. 11-102734, Filed April 9, 1999.

The International Bureau received the priority documents within the time limit, as evidenced by the attached copy of the PCT/IB/304.

Claim for Priority - Guizeng SHI et al.
PCT/JP00/01754
November 28, 2000
Page 2

It is requested that the file of this application be marked to indicate that the requirements of 35 U.S.C. 119 have been fulfilled and that the Patent and Trademark Office kindly acknowledge receipt of this document.

Respectfully submitted,



James E. Ledbetter
Registration No. 28,732

Date: November 28, 2000

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Attorney Docket No. L9289.00120 PCT

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